

METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR
GENERATING USER-DEPENDENT RSA VALUES WITHOUT STORING
SEEDS

Related Applications

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The present application is related to commonly assigned United States Patent Application Serial No. 09/324,418, entitled "METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR GENERATING USER-DEPENDENT CRYPTOGRAPHIC KEYS," (Attorney Docket No. 5577-160) the disclosure of which is incorporated herein by reference as if set forth fully. The present application is also related to commonly assigned United States Patent Application Serial No. 09/324,308, entitled "METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR GENERATING USER-DEPENDENT RSA KEYS," (Attorney Docket No. 5577-159) the disclosure of which is incorporated herein by reference as if set forth fully.

Field of the Invention

The present invention relates to cryptography and more particularly to the generation of cryptographic key values for RSA asymmetric cryptosystems.

Background of the Invention

Asymmetric (or public) key cryptosystems use two different keys that are not feasibly derivable from one another, one for encryption and another for decryption.

A person wishing to receive messages, generates a pair of corresponding encryption and decryption keys. The encryption key is made public, while the corresponding decryption key is kept secret. Anyone wishing to
5 communicate with the receiver may encrypt a message using the receiver's public key. Only the receiver may decrypt the message, since only he has the private key. Asymmetric-key cryptosystems may also be used to provide for digital signatures, in which the sender
10 encrypts a signature message using his private key. Because the signature message can only be decrypted with the sender's public key, the recipient can use the sender's public key to confirm that the signature message originated with the sender. One of the best-
15 known asymmetric-key cryptosystems is the RSA, named for its originators Rivest, Shamir and Adleman. One version of RSA is defined by ANSI Standard X9.31-1998.

RSA is widely used in many cryptographic systems. RSA gets its security from the difficulty of factoring
20 large prime numbers. The RSA public and private keys are derived from two randomly selected large prime numbers.

The general way to derive the two RSA keys is as follows. First choose two random large prime numbers p
25 and q . Compute $N=p \times q$, which is referred to as the public modulus. Then randomly choose the public key e such that e and $(p-1) \times (q-1)$ are relatively prime. Finally, compute the private key d such that $d=e^{-1} \bmod ((p-1) \times (q-1))$. RSA encryption and decryption
30 formulas are straightforward. To encrypt a message m , compute $c=m^e \bmod N$, where c is the ciphertext. To decrypt c , compute $m=c^d \bmod N$.

It has been suggested that two users with different moduli might have a common prime factor in
35 their moduli, either by accident or because of a poor

design (design flaw) in the system. If $N_1 = p_1 \times q_1$ and $N_2 = p_2 \times q_2$, where $p_1 = p_2$, then it is easy to find p_1 or p_2 given N_1 and N_2 , i.e., an efficient algorithm exists to find the common factor p_1 or p_2 given N_1 and N_2 . If such a common prime factor were to exist, and this fact were discovered, then it would also be an easy matter to factor each modulus into its prime factors. This, of course, would allow the private keys to be computed from the corresponding public keys, and hence for the security of the keys to be compromised.

One of the drawbacks of the existing methods for RSA key generation is that six seed values may need to be stored in case an audit will be performed. These seeds will demonstrate that the primes were indeed generated at random and that the user was not trying to choose the primes with some peculiar properties. Such saving of seed values may result in a security exposure as the seed values could be illicitly obtained and used to generate the RSA key values. In commonly assigned United States Patent Application entitled "METHOD, SYSTEM AND APPARATUS FOR GENERATING SELF-VALIDATING PRIME NUMBERS" filed July 10, 1998, serial number 09/114,024, the disclosure of which is incorporated herein by reference, a scheme was developed that incorporated the knowledge of the seeds into the primes themselves. Therefore, the seeds need not be stored in order to pass an audit. However, the generated primes were not necessarily unique and could not be associated with a particular user.

In general, mechanisms for differentiating between users are known. For example, a particular individual can be identified or verified through a user identifier (such as a globally unique name) or biometric data (such as fingerprint, hand geometry, iris pattern,

facial features, voice characteristics, handwriting dynamics, earlobe characteristics, etc.).

As is well known to those having skill in the art, biometric information is one or more behavioral and/or physiological characteristics of an individual. Biometric identification and/or verification uses a data processing system to enable automatic identification and/or verification of identity by computer assessment of a biometric characteristic. In biometric verification, biometric information is verified for a known individual. In biometric identification, biometric information for an individual is compared to known biometric information for many individuals in order to identify the individual.

Biometric identification/verification systems, methods and computer program products can measure one or more of the following behavioral and/or physiological characteristics of an individual: fingerprint, hand geometry, iris pattern, facial features, voice characteristics, handwriting dynamics, earlobe characteristics and keystroke dynamics. Other biometric characteristics may be used. Applications using biometric technologies include biometric check cashing machines, payment systems that substitute biometric data for personal identification numbers, access control systems that use biometric data, biometric employee time and attendance recording and biometric passenger control for transportation. Many other applications may utilize biometric information for identification and/or verification. See the publications entitled "*Biometrics, Is it a Viable Proposition for Identity Authentication and Access Control*", to Kim, Computers & Security, Vol. 14, 1995, pp. 205-214; "*A Robust Speaker Verification Biometric*", to George et al., Proceedings, the IEEE 29th

International Carnahan Conference on Security
Technology, Oct. 1995, pp. 41-46; *"On Enabling Secure
Applications Through Off-line Biometric
Identification"*, to Davida et al., Proceedings of the
5 IEEE Computer Society Symposium on Research in Security
and Privacy, 1998, pp. 148-157; and *"Biometric
Encryption: Information Privacy in a Networked World"*,
to Brown et al., EDI Forum: The Journal of Electronic
Commerce, v. 10, No. 3, 1997, pp. 37-43. However,
10 while biometric identification and user identification
may allow for identification of users, these existing
uses may not allow for authentication of the source of
encryption keys.

In the above cited Davida et al. publication, in
15 Section 5.2, it was proposed that biometrics could be
used with or as keys. However, Davida et al. assumes
that the biometric information is secret information.
Furthermore, Davida et al. may not work for any size
key and describes a procedure which may not allow for
20 pre-computing information for generation of a key
value. Furthermore, the proposal of Davida et al. may
allow two users to generate the same key values and,
thus, does not assure that the generated keys are
disjoint.

25 In light of the above discussion, a need exists
for improvements in the generation of encryption keys
for RSA cryptosystems so as to allow authentication of
a user's generated values without requiring storage of
the secret seed values.

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Summary of the Invention

In view of the above discussion, it is an object
of the present invention to provide cryptographic keys
which may be authenticated.

A further object of the present invention is to provide for the generation of cryptographic keys which may be audited to determine the user who generated the cryptographic keys.

5 A further object of the present invention is to provide RSA keys which satisfy the requirements of the ANSI Standard X9.31-1998.

Yet another object of the present invention is to provide RSA keys which are disjoint for different
10 users.

These and other objects of the present invention may be provided by methods, systems and computer program products which generate an RSA cryptographic value utilizing user specific information (B) about a
15 user, a first secret seed value (W_p), a second secret seed value (W_q) and a third, publicly known, randomization value (IV) having a first portion (IV_p) and a second portion (IV_q). A potential range of RSA cryptographic values are divided into a first interval
20 and a second interval and a first initial value (XX_p) is generated based on the first secret seed value (W_p), the second secret seed value (W_q) and the first portion of the third randomization value (IV_p). The first initial value is mapped to a user specific segment of the first
25 interval utilizing the obtained user specific information (B) to provide a mapped first initial value (X_p). A first user dependent RSA cryptographic value (p) is selected from the user specific segment of the first interval utilizing the mapped first initial value as a
30 starting point for a search for the first user dependent RSA key value. A second initial value (XX_q) is generated based on the first user dependent RSA encryption value (p), the second secret seed value (W_q) and the first portion of the third randomization value
35 (IV_q). The second initial value is mapped to a user

specific segment of the second interval utilizing the user specific information to provide a mapped second initial value (X_q). A second user dependent RSA cryptographic value (q) is then generated from the user specific segment of the second interval utilizing the mapped second initial value as a starting point for a search for the second user dependent RSA cryptographic value.

In a further embodiment of the present invention, auxiliary prime divisors corresponding to the first user dependent RSA key value (p) and the second user dependent RSA key value (q) are also generated based upon the first secret seed value (W_p), the second secret seed value (W_q) and the third randomization value (IV). In such a case, where p_0 is a publicly known prime number whose length is at least n bits (where p and q have a length of n bits) and g is a public generator, the auxiliary prime divisors may be generated by concatenating the first secret seed value (W_p), the second secret seed value (W_q) and the third randomization value (IV) so as to provide an exponent value (X). An initial random value is then generated by determining $Y = g^X \pmod{p_0}$ so as to provide initial prime search values. The most significant bit of the initial prime search values is set to "1" to provide final prime search values. The auxiliary prime divisors are then selected as the smallest prime value greater than or equal to the final prime search values. Furthermore, a new first secret seed value (W_p), a new second secret seed value (W_q) or a new third randomization value (IV) may be selected if the length of at least one of the prime divisors is greater than the length of the final prime search values. In such a case, the prime divisors are regenerated if the length

of at least one of the prime divisors is greater than the length of the final prime search values.

In a still further embodiment, the initial prime search values have a first length if a public encryption exponent (e) has an odd value and a second length if the public encryption exponent (e) has an even value. In particular, the first and second lengths are fixed, and preferably fixed so that the first length is 120 bits and the second length is 118 bits.

In further embodiments of the present invention, the user specific segments comprise the segments $[A+(B(C-A))/2^b, A+((B+1)(C-A))/2^b]$ wherein A and C are the endpoints of the respective intervals and the user specific information comprises b bits. In particular, where the RSA cryptographic values comprise n bits, the first interval may comprise RSA cryptographic values from the set of $[\sqrt{2}(2^{n-1}), 2^{n-1}+2^{n-3/2}]$ and the second interval may comprise RSA cryptographic values from the set of $[2^{n-1}+2^{n-3/2}, 2^n]$. Also, where the binary size of the RSA values are 2n and a size m is n-b-2, then the mapping may be achieved by linearly mapping the first initial value to a user specific segment of the first interval utilizing the obtained user specific information (B) utilizing the linear mapping function

$$G_{1,u}(x) = 4(1 - \frac{1}{\sqrt{2}})x + \sqrt{2}2^{n-1} + 4(1 - \frac{1}{\sqrt{2}})(B-1)2^{m-1}. \quad \text{The mapped}$$

first initial value (X_p) is then selected as the largest integer value which is not greater than the first initial value (XX_p) mapped utilizing the mapping function $G_{1,u}$. Similarly, the second initial value may be

linearly mapped to a user specific segment of the second interval utilizing the obtained user specific information (B) by utilizing the linear mapping

$$\text{function } G_{2,u}(x) = 4\left(1 - \frac{1}{\sqrt{2}}\right)x + 2^{n-1} + 2^{n-3/2} + 4\left(1 - \frac{1}{\sqrt{2}}\right)(B-1)2^{m-1}.$$

- 5 The mapped second initial value (X_q) is the selected as the largest integer value which is not greater than the second initial value (XX_q) mapped utilizing the mapping function $G_{2,u}$.

- In a particular embodiment of the present
10 invention, the user specific information is biometric information. The user specific information may also be a globally unique user identification.

- In another embodiment of the present invention, if
15 a candidate for p is considered outside the range of RSA cryptographic values in the user specific segment of the first interval then at least one of a new first secret seed value (W_p), a new second secret seed value (W_q) or a new third randomization value (IV) is selected. If a candidate for q is considered outside
20 the range of RSA key values in the user specific segment of the second interval then at least one of a new first secret seed value (W_p), a new second secret seed value (W_q) or a new third randomization value (IV) is also selected. In either case, the value generation
25 is restarted. Similarly, if $2^{16}-1$ candidates for p have been rejected in selecting the first user dependent RSA cryptographic value or if $2^{16}-1$ candidates for q have been rejected in selecting the second user dependent RSA cryptographic value, then at least one of a new
30 first secret seed value (W_p), a new second secret seed value (W_q) or a new third randomization value (IV) is selected and value generation restarted.

In one embodiment of the present invention, the first initial value is generated by mixing the concatenation of W_q and IV_q utilizing a publicly known mixing function, concatenating W_p and IV_p and
5 EXCLUSIVE-ORing the mixed concatenation of W_q and IV_q and the concatenation W_p and IV_p to provide the first initial value (XX_p). The second initial value is generated by EXCLUSIVE ORing p and IV_p , mixing the EXCLUSIVE OR of p and IV_p utilizing the publicly known
10 mixing function, concatenating W_q and IV_q and EXCLUSIVE-ORing the mixed EXCLUSIVE OR of p and IV_p and the concatenation W_q and IV_q to provide the second initial value (XX_q).

Furthermore, the generated RSA cryptographic
15 values p and q may subsequently be authenticated based on the cryptographic values themselves. Such authentication may be accomplished by recovering two candidate prime values utilizing the RSA public modulus (n) and the private signature exponent (d) and
20 establishing a first of the two prime values as a first candidate key value (p') and the second of the two prime values as a second candidate key value (q'). First and second candidate seed values W_p' and W_q' are recovered from the first and second candidate key
25 values p' and q' and from the third publicly known seed value IV . First and second RSA key values p'' and q'' are then generated utilizing W_p' and W_q' and IV and compared with p' and q' to authenticate the cryptographic values. The recovered p' and q' may also
30 be determined to not be authentic if p' and q' are values outside the user defined segments of the first and second intervals. The first of the two prime numbers is a smaller of the two prime numbers.

In a particular embodiment of the present
35 invention, the first and second candidate seed values

W_p' and W_q' are recovered from the first and second candidate key values p' and q' and from the third publicly known seed value IV by inverse mapping the second candidate value q' to provide a first initial value S_q . The first candidate key value p' and IV_p are EXCLUSIVE ORed, and the EXCLUSIVE OR of the first candidate key value p' and IV_p mixed with the publicly known mixing function. The mixed EXCLUSIVE OR of the first candidate key value p' and IV_p is EXCLUSIVE ORed with IV_q to provide a first known value having a length (j) . It is then determined if the value corresponding to the j least significant bits of S_q are less than the first known value. The $n-j$ most significant bits of the mixed concatenation of the first candidate key value p' and IV_p is EXCLUSIVE ORed with the $n-j$ most significant bits of S_q if the value corresponding to the j least significant bits of the S_q is less than the first known value to provide the second candidate seed value or with 1 subtracted from the value corresponding to the $n-j$ most significant bits of S_q if the value corresponding to the j least significant bits of the first subsequent value are not less than the first known value to provide the second candidate seed value. The first candidate value p' is also inverse mapped to provide a second initial value S_p . The second candidate seed value is concatenated with IV_q and mixed with the publicly known mixing function. The mixed concatenation of the second candidate seed value and IV_q is EXCLUSIVE ORed with IV_p to provide a second known value having a length (j) . It is then determined if the value corresponding to the j least significant bits of S_p are less than the second known value and the $n-j$ most significant bits of the mixed concatenation of the second candidate seed value and IV_q are EXCLUSIVE ORed with the $n-j$ most significant bits of S_p if the value

corresponding to the j least significant bits of S_p are less than the second known value to provide the first candidate seed value and with 1 subtracted from the value corresponding to the $n-j$ most significant bits of S_p if the value corresponding to the j least significant bits of S_p are not less than the second known value to provide the first candidate seed value.

By mapping a user specific range of values onto the potential range of prime values, the present invention can guarantee that different users will select prime values from different ranges. Thus, the range of prime values from which an RSA prime is selected may be used to authenticate and audit the prime after generation. If a prime is not from the user specific range mapped onto the range of potential prime values, then the key value was not from the user corresponding to the user specific information.

As will further be appreciated by those of skill in the art, the present invention may be embodied as methods, apparatus/systems and/or computer program products.

Brief Description of the Drawings

Figure 1 is diagram of a data processing system that can be used with the present invention;

Figure 2 is a detailed view of a data processing system that can be used with the present invention;

Figure 3 is a flowchart illustrating operations for user specific encryption according to an embodiment of the present invention;

Figure 4 is a flowchart illustrating authentication/auditing of an encrypted message according to one embodiment of the present invention;

Figure 5 is diagram illustrating the division of the key space and the assignment of user specific subspaces for an RSA encryption technique according to the present invention;

5 **Figure 6** is a flowchart illustrating operations according to one embodiment of the present invention for generating auxiliary prime values;

Figure 7 is a flowchart illustrating operations according to one embodiment of the present invention
10 for generating prime values p and q for use in RSA encryption; and

Figure 8 is a flow chart illustration operations for recovering secret seed values from p and q values for an encoded message.

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Detailed Description of the Invention

The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the
20 invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and complete, and will
25 fully convey the scope of the invention to those skilled in the art. Like numbers refer to like elements throughout.

The present invention can be embodied as systems, methods, or a computer program products for generating
30 a user-dependent RSA cryptographic primes and keys which are unique. Furthermore, the present invention may also provide for verification of encrypted data without requiring a user to store the secret seed values which may expose the seed values to being

discovered. As will be appreciated by those of skill
in the art, the present invention can take the form of
an entirely hardware embodiment, an entirely software
(including firmware, resident software, micro-code,
5 etc.) embodiment, or an embodiment containing both
software and hardware aspects. Furthermore, the
present invention can take the form of a computer
program product on a computer-usable or computer-
readable storage medium having computer-usable or
10 computer-readable program code means embodied in the
medium for use by or in connection with an instruction
execution system. In the context of this document, a
computer-usable or computer-readable medium can be any
means that can contain, store, communicate, propagate,
15 or transport the program for use by or in connection
with the instruction execution system, apparatus, or
device.

The computer-usable or computer-readable medium
can be, for example but not limited to, an electronic,
20 magnetic, optical, electromagnetic, infrared, or
semiconductor system, apparatus, device, or propagation
medium. More specific examples (a nonexhaustive list)
of the computer-readable medium would include the
following: an electrical connection having one or more
25 wires, a portable computer diskette, a random access
memory (RAM), a read-only memory (ROM), an erasable
programmable read-only memory (EPROM or Flash memory),
an optical fiber, and a portable compact disc read-only
memory (CD-ROM). Note that the computer-usable or
30 computer-readable medium could even be paper or another
suitable medium upon which the program is printed, as
the program can be electronically captured, via, for
instance, optical scanning of the paper or other
medium, then compiled, interpreted, or otherwise

processed in a suitable manner if necessary, and then stored in a computer memory.

Referring now to **Figure 1**, an exemplary embodiment of a computer system **30** in accordance with the present invention typically includes input devices **32**, such as
5 a keyboard or keypad **31**, a microphone **42** and/or preferably, a biometric information input device **35**. The computer system **30** also preferably includes a display **34** and a memory **36** that communicate with a
10 processor **38**. The computer system **30** may further include a speaker **44** and an I/O data port(s) **46** that also communicate with the processor **38**. The I/O data ports **46** can be used to transfer information between the computer system **30** and another computer system or a
15 network (e.g., the Internet). **Figure 1** also illustrates that computer system **30** may include a storage device **40** which communicates with memory **36** and processor **38**. Such a storage device may be any type of data storage device as described above. These
20 components are included in many conventional computer systems (e.g., desktop, laptop, or handheld computers) and their functionality is generally known to those skilled in the art.

Furthermore, while the present invention is
25 described with respect to the computer system **30**, as will be appreciated by those of skill in the art, the present invention may be incorporated into many other devices where RSA cryptographic primes and/or keys are generated and/or authenticated and, thus, may comprise
30 an embedded function in many other devices. Thus, the present invention should not be construed as limited to use in computer systems such as illustrated in **Figure 1** but may be incorporated in any device having sufficient

processing capabilities to carry out the operations described below.

Figure 2 is a more detailed block diagram of the computer system **30** that illustrates one application of the teachings of the present invention. The processor **38** communicates with the memory **36** via an address/data bus **48**. The processor **38** can be any commercially available or custom microprocessor or other processing system capable of carrying out the operations of the present invention. The memory **36** is representative of the overall hierarchy of memory devices containing the software and data used to implement the functionality of the computer system **30**. The memory **36** can include, but is not limited to, the following types of devices: cache, ROM, PROM, EPROM, EEPROM, flash, SRAM, and DRAM.

As shown in **Figure 2**, the memory **36** may hold four major categories of software and data used in the computer system **30**: the operating system **52**; the application programs **54**; the input/output (I/O) device drivers **58**; and the data **56**. The I/O device drivers **58** typically include software routines accessed through the operating system **52** by the application programs **54** to communicate with devices such as the input devices **32**, the display **34**, the speaker **44**, the microphone **42**, the I/O data port(s) **46**, and certain memory **36** components. The application programs **54** comprise the programs that implement the various features of the computer system **30** and preferably include at least one application module or object for RSA key generation and/or authentication **60** which carries out the operations of the present invention as described below. Finally, the data **56** represents the static and dynamic data used by the application programs **54**, operating system **52**, I/O device drivers **58**, and any other

software program that may reside in the memory 36. As illustrated in **Figure 2**, the data 56 preferably includes a secret seed value 70 and biometric or other user specific data 72. However, as will be appreciated
5 by those of skill in the art, the secret seed values need not be maintained in memory. Furthermore, the biometric or user specific data may be publicly known. Additional intermediate data (not shown) may also be stored in memory. Furthermore, while the present
10 invention is described as an application executing on computer system 30, as will be appreciated by those of skill in the art, the present invention may be implemented in any number of manners, including incorporation in operating system 52 or in an I/O
15 device driver 58.

The present invention will now be described with respect to **Figures 3, 4** and **Figures 6** through 8. **Figures 3, 4** and **6** through 8 are flowchart
illustrations of embodiments of the present invention.
20 It will be understood that each block of the flowchart illustrations and/or block diagrams, and combinations of blocks in the flowchart illustrations and/or block diagrams, can be implemented by computer program instructions. These program instructions may be
25 provided to a processor to produce a machine, such that the instructions which execute on the processor create means for implementing the functions specified in the flowchart or block diagram block or blocks. The computer program instructions may be executed by a
30 processor to cause a series of operational steps to be performed by the processor to produce a computer implemented process such that the instructions which execute on the processor provide steps for implementing

the functions specified in the flowchart or block diagram block or blocks.

Accordingly, blocks of the block diagrams and/or flowchart illustrations support combinations of means
5 for performing the specified functions, combinations of steps for performing the specified functions and program instruction means for performing the specified functions. It will also be understood that each block of the block diagrams and/or flowchart illustrations,
10 and combinations of blocks in the block diagrams and/or flowchart illustrations, can be implemented by special purpose hardware-based systems which perform the specified functions or steps, or combinations of special purpose hardware and computer instructions.

15 The present invention provides for generating and authenticating RSA cryptographic primes and/or keys using user specific information such as users' user identification (userID) data as well as users' biometric data. While userID data and biometric data
20 are fundamentally different, the two data types have characteristics in common which may be exploited in providing user-dependent cryptographic primes and/or keys. For example, some of the differences in userID and biometric data can be identified as follows:

- 25 1) A userID is assigned to a user, whereas biometric data is obtained or derived from the user. Generally speaking, a user's userID is an independent variable, whereas a user's biometric data is a dependent
30 variable.
- 2) A user's userID can be changed. A user's biometric data cannot be changed. At most, a user can attempt to switch from one biometric to another biometric (e.g., fingerprint to
35 hand geometry).

- 3) Generally, the set or space of user identifiers may be dense, making it feasible to enumerate the set of user identifiers. Generally, the space of user biometric data is not dense, making it infeasible to enumerate the biometric data for each user.
- 4) Biometric data can be used to authenticate a user while userID data cannot be used to authenticate a user.
- 5) A userID is a constant. User biometric data is not constant.

However, the similarities in userID and biometric data which may be utilized to provide user-dependent cryptographic keys can be identified as follows:

- 1) A userID is different for each user and biometric data is generally different for each user. Note that, in some cases, it may happen that the biometric data for one user overlaps (in whole or in part) with another user. The degree to which this may occur can depend on a combination of the biometric method being employed and the sensitivity of the biometric reader devices being employed.
- 2) A userID data is non-secret data. Biometric data should be considered as non-secret data, although in some vendor proprietary systems user biometric data is encrypted (i.e., protected). Since there is no practical way to prevent the capture of user biometric data outside the biometric system, it is false to assume that the secrecy of user biometric data can be maintained over time.
- 3) Biometric data, like userID data, can be used to identify users. In fact, in some sense, biometric data offers a better mechanism for

user identification, since biometric data provides a mechanism of positive identification, whereas userID data, until verified via a separate authentication protocol, is only representative of a claimed identity.

One potential advantage to using biometric data as the user specific information is that with biometric data, there is potentially an easy mechanism for the user to prove their identity, especially if the user carries their biometric certificate on a portable token (e.g., smart card). With a userID, the presumed or claimed identity of the user is known, however, the user to whom the key or cryptographic variable belongs will not necessarily have an easy means to prove that they are that user. A user will not always carry sufficient credentials to prove their identity (e.g., birth certificate or passport).

The present invention provides for RSA encryption which may comply with the ANSI standards. The public RSA key N that needs to be generated for RSA encryption may have a binary length of $2n$. The prime numbers p and q whose product is N must be within the range

$\sqrt{2}(2^{n-1}) < p, q < 2^n$. User-specific information, denoted

by B , can be encoded in b bits of data and these data are different from one user to another. When the user-specific data is biometric information, either the "biometric sample" taken in real-time or the pre-computed reference "biometric template" of a user may be utilized. In either case it is assumed that the biometric data is constant. Biometric data need not be secret. It is further preferred that the b bits allows

for expressing any fuzziness included in the biometric data, if biometrics are used as the user-specific data.

The ANSI standard requires that the primes p and q satisfy the following properties:

- 5 1. $p-1$ has a prime divisor, p_1 , whose binary length is between 100 and 120 bits.
2. $p+1$ has a prime divisor, p_2 , whose binary length is between 100 and 120 bits.
3. $q-1$ has a prime divisor, q_1 , whose binary
10 length is between 100 and 120 bits.
4. $q+1$ has a prime divisor, q_2 , whose binary length is between 100 and 120 bits.
5. $|p-q| > 2^{n-100}$.
6. Both $(p-1)/2$ and $(q-1)/2$ must be mutually
15 prime with the RSA public exponent e .
7. If the RSA public exponent e is even then it is required that $p=3(\text{mod}8)$ and $q=7(\text{mod}8)$.

The present invention can satisfy each of these criteria, thus, allowing compliance with the ANSI RSA
20 standard.

While p_1 , p_2 , q_1 and q_2 are each to be between 100 and 120 bits in length, the present invention sets these values which aids in recovering the seed values from later derived p and q values. In the present
25 example, these values are each exactly 120 bits long if e is odd and exactly 118 bits long when e is even. The reason for choosing the slightly shorter primes when e is even is that in this case the primes p and q are selected from a sequence of numbers that differ from
30 each other by $8p_1p_2$ or $8q_1q_2$, rather than by p_1p_2 or q_1q_2 in the case of an odd e . It is necessary to know what the maximum size of the step in the search procedure for p and q and this is one way to accomplish it.

Figure 3 illustrates an embodiment of the present invention which can guarantee that two different users will generate different cryptographic values and which incorporates information about the secret seed values used in the encryption which allows for authentication of the cryptographic values without resort to stored secret seed values. As seen in **Figure 3**, the user specific information, such as biometric information or a user identification, is obtained (block **300**). The user-specific data B may be a binary string of length b . Also obtained are secret seed values W_p and W_q and a public randomization value IV (block **302**). The secret seed values need not be obtained from a stored location but may be generated at the time of encryption. In the present description, the value of $n-b-2$ will be denoted as m . Both W_p and W_q are secret values, each has the length of $m-256$ bits. The IV parameter may be non-secret. It is used for the randomization of the entire prime generation process, so that even the same user starting with the same pair of secret values W_p and W_q will generate different primes when the IV parameter is altered. This IV value is represented as a concatenation of two binary strings, $IV=IV_p||IV_q$, where the length of each of IV_p and IV_q is 32 bytes (256 bits) and the length of IV is therefore 64 bytes.

As is further illustrated in **Figure 3**, after obtaining the input parameters for the encryption process, the auxiliary primes p_1 , p_2 , q_1 and q_2 are generated from the secret seed values W_p and W_q and the public randomization value IV (block **304**). The potential key space for p and q is then divided into two equal intervals I_1 and I_2 (block **306**). An initial start value is then generated for the search for p from W_p , W_q , IV_p , IV_q (block **308**). This initial start value

XX_p for p is then mapped into a user specific one of the 2^b segments of the first of the intervals I_1 utilizing a linear mapping function $G_{1,u}$ (block **310**). The user specific one of the 2^b segments of I_1 into which the
5 initial start value XX_p is mapped is based on the user specific information such that the segments corresponding to different user specific information are disjoint. Furthermore, note that each of the 2^b segments contain cryptographic values having n bits.
10 The user-dependent cryptographic value for p is then selected utilizing conventional RSA prime search procedures, however, the value for p is selected from the subspace selected by the user specific information utilizing the mapped initial start value to start the
15 search for p (block **312**).

After generation of the prime p , the prime q is generated. An initial start value is generated for the search for q from p , W_q , IV_p and IV_q (block **314**). This initial start value XX_q for q is then mapped into a user
20 specific one of the 2^b segments of the second of the intervals I_2 utilizing a linear mapping function $G_{2,u}$ (block **316**). The user specific one of the 2^b segments of I_2 into which the initial start value XX_q is mapped is based on the user specific information such that the
25 segments corresponding to different user specific information are disjoint. The user-dependent cryptographic value for q is then selected utilizing conventional RSA prime search procedures, however, the value for q is selected from the subspace selected by
30 the user specific information utilizing the mapped initial start value to start the search for q (block **318**).

Operations for authentication of cryptographic values generated utilizing the operations of **Figure 3**

are illustrated in **Figure 4**. As is seen in **Figure 4**, the authentication of the encrypted values does not require the secret seed values W_p and W_q as inputs and, therefore, does not require storing of more secret
5 information than is conventionally done in RSA operations. The prime number authentication procedure uses only the RSA private signature exponent d , which has to be stored to perform the RSA signature and/or encryption operations, and the readily-available public
10 information: the user-specific parameter B , the RSA public modulus N and the public verification exponent e . Thus, the present invention provides for the ability to authenticate encrypted information without increasing the exposure to compromise of the encryption
15 process by the storage of additional secret values.

Turning to the operations of **Figure 4**, the public exponent e , private exponent d and the public modulus N are obtained (block **400**) and p and q are extracted from this information (block **402**). The recovery of p and q
20 from this information may be accomplished by conventional techniques known to those of skill in the art. One such technique is described in Section 8.2.2 of A. Menezes, P.C. Van Oorschot, and S. Vanstone, *Handbook of Applied Cryptography*, CRC Press, 1997. The
25 smaller of the two recovered values is a p value and the larger one is a q value. This assumption must be made because of the relative relationship of p and q based on the relationship of the first and second intervals. As will be appreciated by those of skill in the art,
30 this relationship may be reversed or otherwise modified however it must be predefined.

After recovering p and q from the encrypted information, it is determined if p and q are from the segments of the intervals I_1 and I_2 which correspond to

the user specific information B (block 403). If p or q are not from the corresponding user specific segments, then they are not authentic and the authentication process fails (block 410). However, if p and q are
5 from the proper segments, then W_p and W_q are recovered from p and q and IV (block 404). Having recovered W_p and W_q , then the operations of Figure 3 are performed to regenerate potential primes p' and q' (block 406). If
10 p' and q' are equal to p and q (block 408) then the encrypted information is authentic and has passed authentication (block 412). If the values are not equal then the authentication process has failed (block 410).

Figures 6 through 8 provide further details on
15 specific aspects of the encryption and authentication processes illustrated in **Figures 3 and 4** and will be described with reference to **Figure 5** which is an illustration of the mapping operations of the present invention to provide user-dependent RSA primes from the
20 interval of potential primes for an n bit prime.

Figure 6 illustrates in more details the operations of blocks 304 of **Figure 3**. As seen in
Figure 6, operations for generating the auxiliary prime values are illustrated although there may be many ways
25 to generate the auxiliary prime factors p_1 , p_2 , q_1 and q_2 . The choice of a particular approach is not that critical since these primes will not be used in regeneration of random seeds during the authentication process. The method of generating p_1 , p_2 , q_1 and q_2
30 should, however, guarantee a reasonable level of secrecy and randomness among the numbers that it produces.

The auxiliary primes may be generated by concatenating W_p , W_q and IV to provide a $2m$ bit integer

X (block 500). As seen in block 502, the $2m$ bit integer is then used with a publicly known prime number p_0 whose length is at least n bits and a public generator g generate Y as $Y=g^x(\text{mod } p_0)$. The length of the auxiliary primes k is then determined based on whether e is even or odd (block 504). The length k is set to 120 bits if e is odd (block 506), or 118 bits if e is even (block 508). The $4k$ least significant bits of Y may then be selected such that

10 $Y=(...||Y_{p1}||Y_{p2}||Y_{q1}||Y_{q2})$ (block 510). The most significant bit in each of these values is set to "1" (block 512) with the resulting four values being designated X_{p1} , X_{p2} , X_{q1} and X_{q2} , respectively. The prime number p_1 is the smallest prime greater than or equal to

15 X_{p1} (block 514). Values for p_2 , q_1 and q_2 are similarly determined from X_{p2} , X_{q1} and X_{q2} (block 514). It is then determined if the length of any of p_1 , p_2 , q_1 or q_2 is greater than k (block 516). If so, then the process aborts and starts again with one or more new randomly

20 generated seeds W_p , W_q , IV (block 518).

Figure 7 illustrates in further detail the operations of blocks 308 through 318 of **Figure 3**. The operations of **Figure 7** begins after the potential encryption value space has been divided in the two

25 intervals I_1 and I_2 as illustrated in **Figure 5**. As is seen in **Figure 5**, the interval $I=[\sqrt{2}(2^{n-1}), 2^n]$ is divided into two intervals of equal lengths:

$$I_1=[\sqrt{2}(2^{n-1}), 2^{n-1}+2^{n-3/2}] \quad \text{and} \quad I_2=[2^{n-1}+2^{n-3/2}, 2^n].$$

For every user, the prime p will be selected from the

30 interval I_1 and the prime q from the interval I_2 utilizing the operations illustrated in **Figure 7**.

As is seen in **Figure 7**, W_q and IV_q are concatenated and the concatenated value mixed (block **600**) utilizing a public mixing function. For example, the 1 to 1 mixing function described in Matyas, M., Peyravian, M., Roginsky, A., and Zunic, N., "Reversible data mixing procedure for efficient public-key encryption," Computers & Security Vol. 17, No. 3, (265-272) 1998, which can be applied to any arbitrary n -bit input may be utilized. W_p and IV_p are also concatenated (block **602**) and the results XORed with the results of the mixing (block **604**) to provide the initial start point XX_p in the interval d_v illustrated in **Figure 5**. Thus, $XX_p = (W_p || IV_p) \oplus F(W_q || IV_q)$ where F is the publicly known mixing function. If XX_p is a 1 followed by $m-1$ zeroes, then the process aborts and starts again with the new W_p and W_q values.

XX_p is then linearly mapped to a user specific segment of the first interval I_1 utilizing a linear mapping function based on the user specific information (block **608**). This mapping is accomplished by the interval I_1 described above being divided into 2^b disjoint intervals equal in length. For user U with the user-specific parameter B , the corresponding interval will be $h_{1,u} = [A + \frac{B(C-A)}{2^b}, A + \frac{(B+1)(C-A)}{2^b}]$, where

A and C are the endpoints of interval I_1 , i.e., $A = \sqrt{2}(2^{n-1})$ and $C = 2^{n-1} + 2^{n-3/2}$. The addition " $B+1$ " is the bit addition (mod 2^b). The length of $h_{1,u}$ is

$$2^{n-b-1} \left(1 - \frac{1}{\sqrt{2}}\right), \text{ which is approximately equal to } 1.17 \times 2^{n-b-1}$$

Thus, a linear function $G_{1,u}$ may be defined that maps the $[2^{m-1}, 2^m)$ interval d_u that contains all integers of binary length m into $h_{1,u}$. The precise formula for $G_{1,u}$ is as follows:

5

$$G_{1,u}(x) = 4 \left(1 - \frac{1}{\sqrt{2}}\right) x + \sqrt{2} 2^{n-1} + 4 \left(1 - \frac{1}{\sqrt{2}}\right) (B-1) 2^{m-1}.$$

The start point X_p for the search for p may then be determined by taking the largest integer smaller than
10 the mapped XX_p value (block **608**). In other words, $\lceil G_{1,u}(XX_p) \rceil$ where $\lceil y \rceil$ and $\lfloor y \rfloor$ are the ceiling and the floor of y , respectively, i.e., $\lceil 4.2 \rceil = 5$ and $\lfloor 4.2 \rfloor = 4$. A value for p is then generated by evaluating up to $2^{16}-1=65,535$ candidates for p utilizing the starting point X_p as the
15 start for the search (block **610**). If more than $2^{16}-1=65,535$ candidates were rejected in selecting p (block **614**), then the process aborts and starts again with one or more new randomly generated seeds W_p , W_q , IV (blocks **630** and **632**). As described below, this
20 limitation on the number of candidates which may be rejected in arriving at p , places bounds on the distance in the segment $h_{1,u}$ that p may be from X_p which facilitates the recover of W_p from p . If a p value was selected, it is then determined if the selected p is
25 within the segment $h_{1,u}$ associated with the user specific information (block **614**). If p is not within the segment $h_{1,u}$, then the process aborts and starts again with one or more new randomly generated seeds W_p , W_q , IV (blocks **630** and **632**).
30 Having established a value for p , the generation of a value for q begins by XORing p with IV_p and then

utilizing the public mixing function F to mix the result (block 616). The concatenation of W_q and IV_q is then XORed with the results of the mixing (block 618) to provide the initial start point XX_q in the interval d_u illustrated in **Figure 5**. Thus, $XX_q = (W_q || IV_q) \oplus F(p \oplus IV_p)$ where F is the publicly known mixing function.

Furthermore, the XOR of two numbers that might be of a different length means that both numbers are right-justified and the XOR is taken of the least significant 10 bits while the most significant bits are taken directly from the longer number. If XX_q is a 1 followed by $m-1$ zeroes, then the process aborts and starts again with the new W_p and W_q values.

XX_q is then linearly mapped to a user specific segment of the first interval I_2 utilizing a linear mapping function based on the user specific information (block 620). This mapping maybe accomplished by the interval I_2 described above being divided into 2^b disjoint intervals equal in length. For user U with 20 the user-specific parameter B , the corresponding interval will be $h_{2,U} = [A + \frac{B(C-A)}{2^b}, A + \frac{(B+1)(C-A)}{2^b}]$, where

A and C are the endpoints of interval I_2 , i.e., $A = 2^{n-1} + 2^{n-3/2}$ and $C = 2^n$. The length of $h_{2,U}$ is the same as that of $h_{1,U}$.

25 Thus, a linear function $G_{2,U}$ may be defined that maps the $[2^{m-1}, 2^m)$ interval d_u that contains all integers of binary length m into $h_{2,U}$. The precise formula for $G_{2,U}$ is as follows:

$$G_{2,U}(x) = 4(1 - \frac{1}{\sqrt{2}})x + 2^{n-1} + 2^{n-3/2} + 4(1 - \frac{1}{\sqrt{2}})(B-1)2^{m-1}.$$

The start point X_q for the search for q may then be determined by taking the largest integer smaller than the mapped XX_q value (block 622). In other words, $X_q = \lfloor G_{2,u}(XX_q) \rfloor$. A value for q is then selected based

5 utilizing the starting point X_q and evaluating up to $2^{16}-1=65,535$ candidates for q (block 624). If more than $2^{16}-1=65,535$ candidates were rejected in selecting q (block 626), then the process aborts and starts again with one or more new randomly generated seeds W_p, W_q, IV

10 (blocks 630 and 632). If less than 65,535 candidates were rejected in selecting q , then it is determined if the selected q is within the segment $h_{2,u}$ associated with the user specific information (block 628). If q is not within the segment $h_{2,u}$, then the process aborts and

15 starts again with one or more new randomly generated seeds W_p, W_q, IV (blocks 630 and 632). If q is within the segment $h_{2,u}$, then the process of generating p and q is complete. The above described prime generation operations provides primes which automatically satisfy

20 the condition $|p-q| \leq 2^{n-100}$ as required in the ANSI standard through the selection of the intervals I_1 and I_2 .

Thus, the present invention can provide RSA primes which are based on user specific information. These

25 primes may then be further used to generate user-dependent RSA key values. Furthermore, because the intervals d_u for users are disjoint, the resulting RSA primes will also be disjoint. By making the prime generation process dependent on user-specific data,

30 such as a userID or biometric data, one has the ability to later prove that a generated prime and/or key belongs to a particular user. In this regard, the present invention can provide a means to "brand" a key or prime so that its rightful user can be determined.

This branding feature may ensure that a user can prove that a key or prime is one belonging to, or generated in, their designated space of keys or primes and that a user cannot deny that a key or prime is one belonging to, or generated in, their designated space of keys or primes.

The operations of block 404 of Figure 4 for the recovery of the seed values W_p and W_q will now be described in further detail with reference to Figure 8.

10 As is seen in Figure 8, recovery begins by inverse mapping the q value from the user specific segment to the interval d_v (block 700). The next highest integer value from the mapped value is then selected as an initial value S_q (block 702). Thus, S_q may be expressed
15 as $S_q = \lceil y \rceil$, where $y = G_{2,u}^{-1}(q)$. S_q may be represented as $D_q' || E_q$, where the length of E_q is j (i.e. 256) bits and the length of D_q' is $n-j$ (i.e. $n-256$) bits. From the definition of XX_q , XX_q may be represented as $M_q || N_q$, where M_q is an unknown (at this stage of the prime
20 recovery) quantity and N_q is a known j (i.e. 256-bit-long) string equal to $IV_q \oplus F(p \oplus IV_p)$. N_q is determined by XORing IV_q with the mix of p XORed with IV_p to provide a value of j bits in length (block 704).

Then, it is determined if the least significant j
25 bits of S_q (i.e. E_q) are greater than or equal to N_q (block 706). If $E_q \geq N_q$ then the first $n-j$ bits of S_q are used as D_q (i.e. $D_q = D_q'$) (block 708). If the least significant j bits of S_q are less than N_q (block 706) then 1 is subtracted from value corresponding to the
30 first $n-j$ bits of S_q and this value used as D_q (i.e. $D_q = D_q' - 1$) (block 710). This value of D_q is the same as the $n-j$ most significant bits of XX_q . W_q is then recovered by determining $W_q = D_q \oplus (\text{the } n-j \text{ (i.e. 256) most significant bits of } F(p \oplus IV_p))$ (block 712).

To establish that the above procedure leads to the correct value of W_q , it is first noted that $0 \leq XX_q - G_{2,u}^{-1}(X_q) < 1$, therefore $XX_q = \lceil y \rceil$, where $y = G_{2,u}^{-1}(X_q)$. Now, $0 \leq \lceil G_{2,u}^{-1}(q) \rceil - \lceil G_{2,u}^{-1}(X_q) \rceil < G_{2,u}^{-1}(q) - G_{2,u}^{-1}(X_q) + 1 =$

$$5 \quad \frac{1}{4(1 - \frac{1}{\sqrt{2}})} (q - X_q) + 1 < 1^{256}.$$

Hence $0 \leq S_q - XX_q < 2^{256}$. This justifies the establishing of D_q as either D_q' or $D_q' - 1$. This determination is based on the fact that $q - X_q < 2^{256}$. This inequality holds since q is obtained by taking no more than $2^{16} - 1$ steps of size $q_1 q_2$ or $8q_1 q_2$ up from a number that is smaller than $X_q + 2^{240}$ as described above. The steps are shorter than 2^{240} by our choice of q_1 and q_2 .

Having established a value for W_q , the p value is then inverse mapped from the user specific segment to the interval d_u (block 714). The next highest integer value from the mapped value is then selected as an initial value S_p (block 716). Thus, S_p may be expressed as $S_p = \lceil y \rceil$, where $y = G_{1,u}^{-1}(p)$. S_p may be represented as $D_p' || E_p$, where the length of E_p is j (i.e. 256) bits and the length of D_p' is $n - j$ (i.e. $n - 256$) bits. From the definition of XX_p , XX_p may be represented as $M_p || N_p$, where M_p is an unknown (at this stage of the prime recovery) quantity and N_p is a known j (i.e. 256-bit-long) string equal to $IV_p \oplus F(W_q || IV_q)$. Thus, after inverse mapping p and taking the ceiling, N_p is determined by XORing IV_p with the mix of W_q concatenated with IV_q to provide a value of j bits in length (block 718).

Then, it is determined if the least significant j bits of S_p (i.e. E_p) are greater than or equal to N_p

(block 720). If $E_p \geq N_p$ then the first $n-j$ bits of S_p are used as D_p (i.e. $D_p = D_p'$) (block 708). If the least significant j bits of S_p are less than N_p (block 706) then 1 is subtracted from the first $n-j$ bits of S_p and this value used as D_p (i.e. $D_p = D_p' - 1$) (block 724). This value of D_p is the same as the $n-j$ most significant bits of XX_p . W_p is then recovered by determining $W_p = D_p \oplus$ (the $n-j$ (i.e. 256) most significant bits of $F(W_q || IV_q)$) (block 726).

10 To establish that the above procedure leads to the correct value of W_p , it is first noted that $0 \leq XX_p - G_{1,u}^{-1}(X_p) < 1$, therefore $XX_p = \lceil y \rceil$, where $y = G_{1,u}^{-1}(X_p)$. Now, $0 \leq \lceil G_{1,u}^{-1}(p) \rceil - \lceil G_{1,u}^{-1}(X_p) \rceil < G_{1,u}^{-1}(p) - G_{1,u}^{-1}(X_p) + 1 =$

$$\frac{1}{4(1 - \frac{1}{\sqrt{2}})} (p - X_p) + 1 < 1^{256}.$$

15 Hence $0 \leq S_p - XX_p < 2^{256}$. This inequality holds since p is obtained by taking no more than $2^{16} - 1$ steps of size $p_1 p_2$ or $8p_1 p_2$ up from a number that is smaller than $X_p + 2^{240}$ as described above. The steps are shorter than 2^{240} by our choice of p_1 and p_2 . The final determination of W_p may
20 then be directly determined from the definition of XX_p .

The present invention has also been described with reference to the use of user specific information. User specific information could be a userID or, biometric information or a combination of the two. In
25 this regard, the present invention provides a means to "brand" a key, prime or other cryptographic value so that its rightful user can be determined. Those skilled in the art will recognize that such branding is not limited to only users, but could be used to brand a
30 key, prime or other cryptographic value with information specific to and associated with an entity

where the entity is other than a human user (i.e. entity specific information). For example, the user specific information could be used to brand information with a company identifier (companyID), thus enabling
5 one to show that the branded value belongs to a specific company. Whereas a biometric is associated with a specific user, an identifier could be associated with a user, group, organization, company, etc., and therefore the present invention is not limited to a
10 method of branding based only on user specific information. Thus, as used herein the term user specific information also refers to entity specific information. A human user is just an example of one type of entity.

15 In the drawings and specification, there have been disclosed typical preferred embodiments of the invention and, although specific terms are employed, they are used in a generic and descriptive sense only and not for purposes of limitation, the scope of the
20 invention being set forth in the following claims.